Receipt date: 11/21/2006 10589458 GAU: 3742

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## 10589458 **Application Number** Filing Date 2006-08-14 INFORMATION DISCLOSURE First Named Inventor Andrew M. Halliday et al. STATEMENT BY APPLICANT Art Unit <del>470\*</del>~ ( Not for submission under 37 CFR 1.99) /Jianying Atkisson/ **Examiner Name** Not yet assigned Attorney Docket Number 1410/67688

		Remove				
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	6062127	А	2000-05-16	Klosinski et al.	
	2	6068871	А	2000-05-30	Fond et al.	
	3	6082245	А	2000-07-04	Nicolai	
	4	6095031	A	2000-08-01	Warne	
	5	6109168	А	2000-08-29	Illy et al.	
	6	6117471	А	2000-09-12	King	
	7	6142063	А	2000-11-07	Beaulieu et al.	
	8	6170386	B1	2001-01-09	Paul	

Receipt date: 11/21/2006 10589458 - GAU: 3742 **Application Number** 10589458 Filing Date 2006-08-14 **INFORMATION DISCLOSURE** First Named Inventor Andrew M. Halliday et al. 1761 Art Unit ( Not for submission under 37 CFR 1.99) **Examiner Name** Not yet assigned

1410/67688

Attorney Docket Number

## STATEMENT BY APPLICANT

9	6173117	B1	2001-01-09	Clubb	
10	6178874	B1	2001-01-30	Joergensen	
11	6182554	B1	2001-02-06	Beaulieu et al.	
12	6186051	B1	2001-02-13	Aarts	
13	6240832	B1	2001-06-05	Schmed et al.	
14	6240833	B1	2001-06-05	Sham et al.	
15	6245371	B1	2001-06-12	Gutwein et al.	
16	D443792	s	2001-06-19	Peters et al.	
17	6279459	B1	2001-08-28	Mork et al.	
18	6289948	B1	2001-09-18	Jeannin et al.	
19	D452107	s	2001-12-18	Cahen	

Receipt date: 11/21/2006 10589458 - GAU: 3742 Application Number 10589458 Filing Date 2006-08-14 First Named Inventor Andrew M. Halliday et al. Art Unit 1761 ( Not for submission under 37 CFR 1.99) **Examiner Name** Not yet assigned

1410/67688

Attorney Docket Number

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

20	6347725	B1	2002-02-19	Yoakim et al.	
21	6358545	B1	2002-03-19	Chandler et al.	
22	6405637	B1	2002-06-18	Cai	
23	D459628	s	2002-07-02	Cahen	
24	D460653	S	2002-07-23	Cahen	
25	D461358	s	2002-08-13	Cahen	
26	6499388	B2	2002-12-31	Schmed	
27	D475567	s	2003-06-10	Hsu	
28	6606938	B2	2003-08-19	Taylor	
29	6607762	B2	2003-08-19	Lazaris et al.	
30	6612224	B2	2003-09-02	Mercier et al.	

Receipt date: 11/21/2006 10589458 - GAU: 3742 Application Number 10589458 Filing Date 2006-08-14 First Named Inventor Andrew M. Halliday et al. Art Unit 1761 ( Not for submission under 37 CFR 1.99) **Examiner Name** Not yet assigned

1410/67688

Attorney Docket Number

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

31	D479939	S	2003-09-30	Au	
32	6644173	B2	2003-11-11	Lazaris et al.	
33	6645537	B2	2003-11-11	Sweeney et al.	
34	6655260	B2	2003-12-02	Lazaris et al.	
35	D489930	S	2004-05-18	Tse	
36	6698332	B2	2004-03-02	Kollep et al.	
37	6698333	B2	2004-03-02	Halliday et al.	
38	6758130	B2	2004-07-06	Sargent et al.	
39	6786136	B2	2004-09-07	Cirigliano et al.	
40	6857353	B2	2005-02-22	Kollep et al.	
41	6935222	B2	2005-08-30	Chen et al.	
38 39 40	6758130 6786136 6857353	B2 B2 B2	2004-07-06 2004-09-07 2005-02-22	Sargent et al.  Cirigliano et al.  Kollep et al.	

Receipt	date	e: 1	1/21/2006		Applic	cation N	umber		10589458 10589458 - GAU: 3742				
INIEGO			. DIGG! GG!		Filing	Date			2006-08-14				
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)				First N	First Named Inventor Andrev			ew M. Halliday et a	l.				
				Art Ur	nit			1761					
( 1401 101 :	Subili	1331011	under 37 OFK	1.99)	Exam	Examiner Name Not ye			et assigned				
					Attorn	ney Docl	ket Numb	er	1410/67688				
					<u>'</u>								
If you wiel	h to o	44 040	litional U.S. Pate	nt citatio	n inform	otion nl	ooco oliak	the A	dd button		Add		
ii you wisi	11 10 41	uu auc	illional U.S. Pate			•			CATIONS		Remove		
				U.3.F	AIENI	AFFLIC	JATION F	UBLIC	DATIONS		Hemove		
Examiner Initial*	Cite No	Publication Number			Publication Name of Patent of cited Docume		ent Releva			Lines where ges or Relev			
	1												
If you wisl	h to a	dd add	litional U.S. Publi	ished Ap	plication	n citation	n informati	on ple	ase click the Add	buttor	n. Add		
					FOREIG	GN PAT	ENT DOC	UME	NTS		Remove		
Examiner Initial*	Cite No	Fore Num	ign Document ber <sup>3</sup>	Country Code <sup>2</sup>		Kind Code <sup>4</sup>	Publication Date	on /	Name of Patentee Applicant of cited Document	e or	where Rel	or Relevant	T5
	1												
If you wisl	h to a	dd add	litional Foreign P	atent Do	cument	citation	informatio	n plea	ase click the Add	button	Add		.1
				NON	I-PATEI	NT LITE	RATURE	DOCI	UMENTS		Remove		
Examiner Initials*	Examiner nitials*  Cite No  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.							T⁵					
	1												
If you wisl	h to a	dd add	litional non-paten	ıt literatu	re docu	ment cit	ation infor	matior	n please click the	Add b	utton Ad	ld	1
			-		EX	AMINE	R SIGNA	ΓURE					
Examiner	Signa	ature	/Jianying	Atkissor	 1/				Date Conside	red	07/25/	2011	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

Receipt date: 11/21/2006	Application Number		10589458	10589458 -	· GAU: 37	742
	Filing Date		2006-08-14			
INFORMATION DISCLOSURE	First Named Inventor Andre		ew M. Halliday et al.			
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		1761			
	Examiner Name Not y		yet assigned			
	Attorney Docket Number	er	1410/67688			

	CERTIFICATION STATEMENT								
Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):								
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).								
OR									
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).								
	See attached cer	rtification statement.							
	Fee set forth in 3	7 CFR 1.17 (p) has been submitted herewit	n.						
×	None	212111	T. I.D. F.						
	SIGNATURE  A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.								
Sign	nature	/Jeffrey A. Chelstrom/	Date (YYYY-MM-DD)	2006-11-21					
Nan	ne/Print	Jeffrey A. Chelstrom	Registration Number	57,915					

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

Receipt date: 11/21/2006 10589458 - GAU: 3742

## **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a
  court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
  negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a
  request involving an individual, to whom the record pertains, when the individual has requested assistance from the
  Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
  - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.